

## APPENDIX 1 – SSIP CORE CRITERIA FOR THE DEMONSTRATION OF ORGANISATIONAL CAPABILITY ASSESSMENT

### NOTE

CRITERIA ELEMENTS 1 TO 12 ARE APPLICABLE TO ALL ORGANISATIONS. CRITERIA ELEMENTS 13 TO 14 ARE ONLY APPLICABLE TO CONSTRUCTION SECTOR ORGANISATIONS (WHO HAVE SPECIFIC DUTIES) AND ARE IN ADDITION TO CRITERIA ELEMENTS 1 TO 12. THIS LIST MAY BE AMENDED FROM TIME TO TIME AS NECESSARY, TO REFLECT REGULATORY OR OTHER RELEVANT DEVELOPMENTS

#	CRITERIA	STANDARDS TO BE ACHIEVED	EXAMPLES OF THE EVIDENCE THAT YOU COULD USE TO DEMONSTRATE YOU MEET THE REQUIRED STANDARD
1	Health & Safety policy and organisation for Health & Safety	<p>You are expected to have and implement an appropriate policy, regularly reviewed, and signed off by the Managing Director or equivalent.</p> <p>The policy must be relevant to the nature and scale of your work and set out the responsibilities for Health &amp; Safety management at all levels within the organisation.</p>	<p>A signed, current copy of the company policy (indicating when it was last reviewed and by whose authority it is published).</p>
2	Arrangements	<p>These should set out the arrangements for Health &amp; Safety management within the organisation and should be relevant to the nature and scale of your work.</p> <p>They should set out how the company will discharge their duties under current Health &amp; Safety legislation.</p> <p>There should be a clear indication of how these arrangements are communicated to the workforce.</p>	<p>A clear explanation of the arrangement which the company has made for putting its policy into effect and for discharging its duties under current relevant Health &amp; Safety legislation.</p> <p>Arrangements to include but not limited to: Accident reporting, Training &amp; Supervision, Communication, Monitoring H&amp;S, Implementation of Risk Assessment</p>
3	Competent advice – corporate and construction related	<p>Your organisation, and your employees, must have ready access to competent Health &amp; Safety advice, preferably from within your own organisation.</p> <p>The advisor must be able to provide general Health &amp; Safety advice, and also (from the same source or elsewhere) advice relating to sector specific (non-construction) or construction Health &amp; Safety issues.</p>	<p>Name and competency details of the source of advice, for example a safety group, trade federation, or consultant who provides Health &amp; Safety information and advice.</p> <p>An example from the last 12 months of advice given and action taken.</p>
4	Training and information	<p>You should have in place, and implement, training arrangements to ensure your employees have the skills and understanding necessary to discharge their duties. For construction sector organisations this will include duties as principal contractors, contractors, designers or principal designers.</p> <p>You should have in place a programme for refresher training, for example a Continuing Professional Development (CPD) programme or life-long learning which will keep your employees updated on new developments and changes to legislation or good Health &amp; Safety practice. This applies throughout the organisation from board or equivalent, to trainees.</p>	<p>Headline training records.</p> <p>Evidence of a Health &amp; Safety training culture including records, certificates of attendance and adequate Health &amp; Safety induction training.</p> <p>Evidence of an active CPD programme. Sample of 'toolbox talk' type training.</p> <p>Evidence of relevant training as required by Health &amp; Safety legislation or approved code of practice e.g., asbestos awareness training.</p>
5	Individual qualifications and experience	<p>Employees are expected to have the appropriate qualifications and experience of the assigned tasks, unless they are under controlled and competent supervision.</p>	<p>Details of qualifications and/or experience of specific corporate post holders for example board members, Health &amp; Safety advisor etc. relevant for the industry sector for both construction and non-construction activities.</p> <p>Other key roles should be named or identified, and details of relevant qualifications and experience provided.</p> <p><b>Additionally, for construction sector organisations:</b></p> <p><b>For principal contractors and contractors:</b> Details of number/percentage of people engaged in the organisation who have passed a construction Health &amp; Safety assessment, for example the CITB Construction Skills touch screen test or similar schemes, such as the CCNSG equivalent.</p> <p>For site managers, details of any specific training such as the Construction Skills CITB 'Site Management Safety Training Scheme' certificate or equivalent.</p> <p>For professionals, details of qualifications and/or professional institution membership.</p>

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			<p>For site workers, details of any relevant qualifications or training such as S/NVQ certificates.</p> <p>Evidence of a company-based training programme suitable for the work to be carried out.</p> <p><b>For Design Organisations:</b></p> <p>Details of qualifications relevant to specific area of expertise, which may include Higher National Diploma or certificate, Bachelor degree or Masters etc.</p> <p>Details of professional institution membership such as RiBA, ICE, APS, RiAS, CIAT, ARB, IstructE etc.</p> <p>Evidence to confirm a clear commitment to continued improvement, training and the Continued Professional Development of staff in relevant area of expertise and Health &amp; Safety.</p> <p><b>For Principal Designers:</b></p> <p>Details of qualifications, to confirm the organisations operational capability to manage construction Health &amp; Safety which may include NEBOSH Construction, NVQ in Occupational Health &amp; Safety or NCRQ in applied Health &amp; Safety etc.</p> <p>Details of qualifications relevant to specific area of expertise, which may include Higher National Diploma or certificate, Bachelor degree or Masters etc.</p> <p>Details of relevant professional institution membership such as member of the registers administered by the APS or ICS (formerly known as the CDM co-ordinators' registers), or the ICE construction Health &amp; Safety register etc.</p> <p>Evidence to confirm a clear commitment to continued improvement, training and the Continued Professional Development of staff in relevant area of expertise and Health &amp; Safety.</p>
6	Monitoring, audit and review	You should have a system for monitoring your procedures, for auditing them at periodic intervals, and for reviewing them on an on-going basis.	<p>Could be through formal audit or discussions/reports to senior managers.</p> <p>Evidence of recent monitoring and management response.</p> <p>Copies of workplace / site inspection reports.</p>
7	Workforce involvement	You should have, and implement, an established means of consulting with your workforce on Health & Safety matters.	<p>Evidence showing how consultation is carried out.</p> <p>Records of Health &amp; Safety committees.</p> <p>Names of appointed safety representatives (trade union or other).</p> <p>For those employing less than five, be able to describe how you consult with your employees to achieve the consultation required.</p>
8	Accident reporting and enforcement action; follow up investigation	<p>You should have records of all RIDDOR (the Reporting of Injuries, Diseases and Dangerous Occurrences Regulations) reportable events for at least the last three years. You should also have in place a system for reviewing all incidents and recording the action taken as a result.</p> <p>You should record any enforcement action taken against your company over the last five years, and the action which you have taken to remedy matters subject to enforcement action.</p>	<p>Evidence showing the way in which you record and investigate accidents and incidents.</p> <p>Records of the last two accidents/incidents and action taken to prevent recurrence.</p> <p>Records of any enforcement action taken over the last five years, and what action was taken to put matters right (information on enforcement taken by HSE over the last five years is available on the HSE website).</p> <p>For larger companies, simple statistics showing incidence rates of major injuries, over seven-day injuries, reportable cases of ill health and dangerous occurrences for the last three years.</p> <p>Records should include any incidents that occurred whilst the company traded under a different name, and any incidents that occur to direct employees or sub-contractors.</p>

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9	Sub-contracting /consulting procedures (if applicable)	<p>You should have arrangements in place for appointing competent sub-contractors/consultants.</p> <p>You should be able to demonstrate how you ensure that sub-contractors/consultants will also have arrangements for appointing competent sub-contractors or consultants.</p> <p>You should have arrangements for monitoring sub-contractor/consultant performance.</p>	<p>Evidence showing how you ensure sub-contractors/consultants are competent.</p> <p>Examples of sub-contractor/consultant assessments you have carried out.</p> <p>Evidence showing how you required similar standards of competence assessment from sub-contractors/consultants.</p> <p>Evidence showing how you monitor sub-contractor/consultant performance.</p>
10	Risk assessment leading to a safe system of work	<p>You should have procedures in place for carrying out risk assessments and for developing and implementing safe systems of work/method statements.</p> <p>The identification of occupational health issues is expected to feature prominently in this system.</p> <p><b>For construction sector principal contractor organisations:</b></p> <p>You should be able to demonstrate how a suitable construction phase plan is created prior to the start of works</p>	<p>Evidence showing how the company will identify significant Health &amp; Safety hazards and how the assessed risks will be controlled.</p> <p>Sample risk assessments/safe systems of work/method statements.</p> <p>If you employ less than five persons and do not have written arrangements, you should be able to describe how you achieve the above.</p> <p><b>For construction sector principal contractor organisations or, where relevant, construction contractors:</b></p> <p>Sample construction phase plans.</p> <p>The plan should be:</p> <ul style="list-style-type: none"> <li>• proportionate to the size and nature of the work, and the risks involved</li> <li>• workable and realistic</li> <li>• sufficiently developed to allow work to start on site regularly reviewed and added to as new trades start.</li> </ul>
11	Co-operating with others and co-ordinating your work with that of other contractors	<p>You should be able to illustrate how co-operation and co-ordination of your work is achieved in practice, and how you involve the workforce in drawing up risk assessments, method statements/safe systems of work.</p>	<p>Evidence could include sample risk assessments, procedural arrangements, and/or project team meeting notes.</p> <p>Evidence of how the organisation co-ordinates its work with other interested parties.</p>
12	Welfare provision	<p>‘Welfare facilities’ are those that are necessary for the well-being of employees and/or those under the control of the organisation, such as washing, toilet, rest and changing facilities, and somewhere clean to eat and drink during breaks.</p> <p><b>For construction sector principal contractor organisations:</b></p> <p>You should be able to demonstrate how you will ensure that appropriate welfare facilities will be in place before people start work on site.</p>	<p>Evidence could include for example Health &amp; Safety policy commitment; contracts with welfare facility providers including cleaning arrangements.</p> <p><b>For construction sector principal contractor organisations:</b></p> <p>Evidence of compliance to Schedule 2 of the CDM 2015 Regulations.</p> <p>Details of type of welfare facilities provided on previous projects.</p>
<b>Additional Construction Sector Criteria</b>			
13	Hazard elimination and risk control (Designers & Principal Designers only)	<p>You should have in place and implement, arrangements for meeting your duties under CDM 2015.</p>	<p>Evidence showing how you:</p> <p>Ensure co-operation and co-ordination of design work within the design team and with other designers/contractors.</p> <p>Take into account the general principles of prevention when preparing or modifying a design with the first aim to eliminate risks or, if that is not possible, to reduce or control the risks.</p> <p>Provide information about the risks arising from the design during construction, maintenance/cleaning and use of the building as a workplace i.e. residual risk.</p> <p>Examples could include minutes or notes of meetings, notes on drawings and sketches, as well as risk registers and similar items on more complex projects.</p>

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			<p>Note: The level of detail required in passing on information about risks should be proportionate to the risks involved. Insignificant risks can usually be ignored, as can risks arising from routine construction activities, unless the design compounds or significantly alters these risks.</p> <p>Ensure that any structure which will be used as a workplace will meet the relevant requirements of the Workplace (Health, Safety and Welfare) Regulations.</p> <p>Examples showing how risk was reduced through design.</p> <p>Evidence showing how design changes are managed during pre-construction and construction phases</p>
14	Principal Designer duties ( <b>Principal Designers only</b> )	You should have in place and implement, arrangements for meeting your duties under CDM 2015.	<p>Evidence showing how you:</p> <p>Communicate with clients and make sure the client is aware of their duties.</p> <p>Assist the client in identifying, obtaining, collating and sharing pre-construction information e.g., meeting minutes or examples of pre-construction information collated for a project and distributed to the relevant project team members.</p> <p>Co-ordinate designers e.g., evidence of written instructions, meeting minutes.</p> <p>Oversee design decisions.</p> <p>Communicate with the principal contractor.</p> <p>Manage design changes after appointment of the principal contractor and during the construction phase.</p> <p>Evidence showing how you prepare and handover the Health &amp; Safety file and the procedure the organisation has in place to ensure post project reviews are completed.</p>
15 <sup>[7]</sup>	Supplementary Construction Industry Criteria ( <b>alignment with Common Assessment Standard</b> )	<p>191 Details of who is responsible for H&amp;S within the company i.e., name of H&amp;S contact who should be a director of the business.</p> <p>192 Details of workforce (including those who are self-employed), working on construction sites undertaking a recognised construction occupation, hold CSCS or CSCS partner scheme cards. (Advisory).</p> <p>193 Details of membership of any fleet operations/management scheme. (Advisory).</p> <p>194 Confirmation a drug and alcohol policy are in place. (Advisory).</p>	<p>191 Provide details of the H&amp;S contact, who should be a Director of the Company (or equivalent)</p> <p><b>The following are advisory requests for information:</b></p> <p>192 If YES please answer Yes, if NO, you may wish to explain why.</p> <p>193 If YES, please provide a copy of your certificate. If the company does not operate fleet or commercial vehicles (or is not required to be part of a scheme) you may wish to answer N/A</p> <p>194 If YES, please supply a copy of your drug and alcohol policy (which may be part of your H&amp;S Policy or through another document. The drug and alcohol policy must include as a minimum:</p> <ul style="list-style-type: none"> <li>(a) What preventative measures your company takes to ensure employees to not attend site under the influence of alcohol and / or drugs,</li> <li>(b) Addresses items such as testing requirement, frequency, substances tested for and management of test results.</li> <li>(c) Whether the policy addresses post-incident and/or reasonable cause drug and alcohol testing</li> <li>(d) Whether the policy addresses a return-to-duty testing process and unannounced testing programmes for employees following a policy violation and/or substance abuse treatment.</li> </ul> <p>Small micro-business may provide a statement rather than a policy</p>

<sup>[7]</sup> Transitional period of 12 months from 01/10/2020 for member implementation of Core Criteria # 15